

## COMPLIANCE REPORT ON SHAREHOLDING PATTERN

Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015



1. Name of Listed Entity : **Kothari Petrochemicals Limited**
2. Scrip Code/Name of Scrip/Class of Security : **KOTHARIPET / EQUITY**
3. Share Holding Pattern Filed under : **Reg. 31(1)(b)**
4. Quarter ended : **September 30, 2016**

5. **Declaration:** The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?	-	√
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	√
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	√
(iv)	Whether the Listed Entity has any shares in locked-in?	-	√
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	-	√



6. The tabular format for disclosure of holding of specified securities is as follows:-

**Table I: Summary Statement holding of specified securities**

Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Out standing convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							Class Equity	class Y	Total			No.	As a % of total shares held	No.	As a % of total Shares held		
(A)	Promoter & Promoter Group	3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70.97	0	0	0	0	0	4,17,62,312
(B)	Public	11,321	1,70,84,088	0	0	1,70,84,088	29.03	1,70,84,088	0	1,70,84,088	29.03	0	0	0	0	0	1,47,84,798
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Total</b>	<b>11,324</b>	<b>5,88,46,400</b>	<b>0</b>	<b>0</b>	<b>5,88,46,400</b>	<b>100</b>	<b>5,88,46,400</b>	<b>0</b>	<b>5,88,46,400</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,65,47,110</b>



**Table II:** Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Under lying Outstanding convertible securities (including Warrants) (X)	Share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights	total as a % of total Voting rights				No.	as a % of total share held	No. (a)	as a % of total share held		
								Class Equity	Class y	Total								
(1) Indian																		
(a) Individuals/ Hindu undivided Family		1	2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	2,97,500
			2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	2,97,500
(b) Central / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) any other																		
		2	4,14,64,812	0	0	4,14,64,812	70.46	4,14,64,812	0	4,14,64,812	70.46	0	70.46	0	0	0	0	4,14,64,812
			1,20,19,000	0	0	1,20,19,000	20.42	1,20,19,000	0	1,20,19,000	20.42	0	20.42	0	0	0	0	1,20,19,000
			2,94,45,812	0	0	2,94,45,812	50.04	2,94,45,812	0	2,94,45,812	50.04	0	50.04	0	0	0	0	2,94,45,812
<b>Sub-Total (A)(1)</b>		<b>3</b>	<b>4,17,62,312</b>	<b>0</b>	<b>0</b>	<b>4,17,62,312</b>	<b>70.97</b>	<b>4,17,62,312</b>	<b>0</b>	<b>4,17,62,312</b>	<b>70.97</b>	<b>0</b>	<b>70.97</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,17,62,312</b>



(2)	Foreign																		
(a)	Individuals(NRI/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>3</b>	<b>4,17,62,312</b>	<b>0</b>	<b>0</b>	<b>4,17,62,312</b>	<b>70.97</b>	<b>4,17,62,312</b>	<b>0</b>	<b>4,17,62,312</b>	<b>70.97</b>	<b>0</b>	<b>70.97</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,17,62,312</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



**Table III:** Statement showing shareholding pattern of the Public shareholder

Category of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of share underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights	Total as a % of (A+B+C)				No.	as a % of total share held	No	as a % of total shares held		
								Class Equity	Class y	total								
(1) Institutions																		
(a) Mutual Funds/		3	51,500	0	0	51,500	0.09	51,500	0	51,500	0.09	0	0.09	0	0		NA	0
(b) Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(f) Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(g) Insurance Companies		1	14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0		NA	14,56,931
Life Insurance Corporation of India			14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0		NA	14,56,931
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(i) any other : Foreign Institutional Investor		1	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0	0		NA	0
<b>Sub-Total (B)(1)</b>		<b>5</b>	<b>15,48,931</b>	<b>0</b>	<b>0</b>	<b>15,48,931</b>	<b>2.63</b>	<b>15,48,931</b>	<b>0</b>	<b>15,48,931</b>	<b>2.63</b>	<b>0</b>	<b>2.63</b>	<b>0</b>	<b>0</b>			<b>14,56,931</b>
2 Central/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
<b>Sub-Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>			<b>0</b>



(3)	Non-institutions																
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10,772	75,15,690	0	0	75,15,690	12.77	75,15,690	0	75,15,690	12.77	0	12.77	0	0	NA	54,63,901
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	39	14,93,735	0	0	14,93,735	2.54	14,93,735	0	14,93,735	2.54	0	2.54	0	0	NA	14,68,535
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(e)	any other	505	65,25,732	0	0	65,25,732	11.09	65,25,732	0	65,25,732	11.09	0	11.09	0	0	NA	63,95,431
	Bodies Corporate	207	54,00,095	0	0	54,00,095	9.18	54,00,095	0	54,00,095	9.18	0	9.18	0	0	NA	52,77,495
	Century Foods Private Limited		23,53,000	0	0	23,53,000	3.99	23,53,000	0	23,53,000	3.99	0	3.99	0	0	NA	23,53,000
	KSR Chemicals and Fortune Trading Pvt.Ltd.		18,69,000	0	0	18,69,000	3.18	18,69,000	0	18,69,000	3.18	0	3.18	0	0	NA	18,69,000
	Clearing Members	24	69,409	0	0	69,409	0.12	69,409	0	69,409	0.12	0	0.12	0	0	NA	69,409
	Directors & Relatives	2	200	0	0	200	0.00	200	0	200	0	0	0	0	0	NA	100
	Hindu Undivided Family	207	6,96,113	0	0	6,96,113	1.18	6,96,113	0	6,96,113	1.18	0	1.18	0	0	NA	6,96,112
	Non Residents Indians	65	3,59,915	0	0	3,59,915	0.61	3,59,915	0	3,59,915	0.61	0	0.61	0	0	NA	3,52,315
	<b>Sub-Total (B)(3)</b>	<b>11,316</b>	<b>1,55,35,157</b>	<b>0</b>	<b>0</b>	<b>1,55,35,157</b>	<b>26.4</b>	<b>1,55,35,157</b>	<b>0</b>	<b>1,55,35,157</b>	<b>26.40</b>	<b>0</b>	<b>26.40</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>133,27,867</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>	<b>11,321</b>	<b>1,70,84,088</b>	<b>0</b>	<b>0</b>	<b>1,70,84,088</b>	<b>29.03</b>	<b>1,70,84,088</b>	<b>0</b>	<b>1,70,84,088</b>	<b>29.03</b>	<b>0</b>	<b>29.03</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>1,47,84,798</b>



**Table IV:** Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights	Total as a % of (A+B+C)				No.	as a % of total Share held	No.	as a % of total shares held		
								class X	class Y	total								
(1) Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(a) Name of DR Holder (if available)																	NA	
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit ) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(a) Name(xyz)																		
<b>Total Non-Promoter-Non public shareholding (C)= (C)(1)+(C)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>0</b>

