

COMPLIANCE REPORT ON SHAREHOLDING PATTERN
 Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity : **Kothari Petrochemicals Limited**
2. Scrip Code/Name of Scrip/Class of Security : **KOTHARIPET / EQUITY**
3. Share Holding Pattern Filed under : **Regulation 31(1)(b)**
4. Quarter ended : **September 30, 2018**

5. **Declaration:** The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?	-	√
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	√
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	√
(iv)	Whether the Listed Entity has any shares in locked-in?	-	√
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	-	√



6. The tabular format for disclosure of holding of specified securities is as follows:-

Table I: Summary Statement holding of specified securities

Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							Class Equity	class Y	Total			No.	As a % of total shares held	No.	As a % of total Shares held		
(A)	Promoter & Promoter Group	3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70.97	0	0	0	0	0	4,17,62,312
(B)	Public	10,570	1,70,84,088	0	0	1,70,84,088	29.03	1,70,84,088	0	1,70,84,088	29.03	0	0	0	0	0	1,54,02,052
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	10,573	5,88,46,400	0	0	5,88,46,400	100	5,88,46,400	0	5,88,46,400	100	0	0	0	0	0	5,71,64,364



Table II: Statement showing shareholding pattern of the Promoter and Promoter Group

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Under lying Outstanding convertible securities (including Warrants) (X)	Share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights	total as a % of total Voting rights				No.	as a % of total share held	No. (a)	as a % of total share held		
									Class Equity	Class y	Total								
(1)	Indian																		
(a)	Individuals/ Hindu undivided Family		1	2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	2,97,500
	Names																		
	Nina Bhadrashyam Kothari			2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	2,97,500
(b)	Central / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	any other																		
	Bodies Corporate		2	4,14,64,812	0	0	4,14,64,812	70.46	4,14,64,812	0	4,14,64,812	70.46	0	70.46	0	0	0	0	4,14,64,812
	Kothari Sugars and Chemicals Ltd			1,20,19,000	0	0	1,20,19,000	20.42	1,20,19,000	0	1,20,19,000	20.42	0	20.42	0	0	0	0	1,20,19,000
	BHK Trading LLP			2,94,45,812	0	0	2,94,45,812	50.04	2,94,45,812	0	2,94,45,812	50.04	0	50.04	0	0	0	0	2,94,45,812
	Sub-Total (A)(1)		3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70.97	0	70.97	0	0	0	0	4,17,62,312



KOTHARI PETROCHEMICALS LIMITED (KPL)

(2)	Foreign																		
(a)	Individuals(NRI/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70.97	0	70.97	0	0	0	0	4,17,62,312

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



Table III: Statement showing shareholding pattern of the Public shareholder

Category of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of share underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No.	as a % of total share held	No		as a % of total shares held
								Class Equity	Class y	total								
(1) Institutions																		
(a) Mutual Funds/		1	49,400	0	0	49,400	0.08	49,400	0	49,400	0.08	0	0.08	0	0	0	NA	0
(b) Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(f) Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(g) Insurance Companies		1	14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0	0	NA	14,56,931
Life Insurance Corporation of India			14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0	0	NA	14,56,931
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(i) any other : Foreign Institutional Investor		1	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0	0	0	NA	0
Sub-Total (B)(1)		3	15,46,831	0	0	15,46,831	2.63	15,46,831	0	15,46,831	2.63	0	2.63	0	0			14,56,931
2 Central/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0



(3)	Non-institutions																	
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	9996	70,58,162	0	0	70,58,162	11.99	70,58,162	0	70,58,162	11.99	0	11.99	0	0	NA	55,91,927	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	40	14,18,341	0	0	14,18,341	2.41	14,18,341	0	14,18,341	2.41	0	2.41	0	0	NA	13,93,141	
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	any other	531	70,60,754	0	0	70,60,754	11.98	70,60,754	0	70,60,754	11.98	0	11.98	0	0	NA	69,60,053	
	Bodies Corporate	188	56,98,867	0	0	56,98,867	9.68	56,98,867	0	56,98,867	9.68	0	9.68	0	0	NA	56,05,267	
	Clearing Members	22	25,068	0	0	25,068	0.04	25,068	0	25,068	0.04	0	0.04	0	0	NA	25,068	
	Directors & Relatives	3	4,200	0	0	4,200	0.00	4,200	0	4,200	0	0	0	0	0	NA	4,100	
	Hindu Undivided Family	242	4,75,774	0	0	4,75,774	0.81	4,75,774	0	4,75,774	0.81	0	0.81	0	0	NA	4,75,773	
	IEPF	1	5,52,749	0	0	5,52,749	0.94	5,52,749	0	5,52,749	0.94	0	0.94	0	0	NA	5,52,749	
	Non Residents Indians	75	3,04,096	0	0	3,04,096	0.51	3,04,096	0	3,04,096	0.51	0	0.51	0	0	NA	2,97,096	
	Sub-Total (B)(3)	10,567	1,55,37,257	0	0	1,55,37,257	26.40	1,55,37,257	0	1,55,37,257	26.40	0	26.40	0	0	NA	1,39,45,121	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	10,570	1,70,84,088	0	0	1,70,84,088	29.03	1,70,84,088	0	1,70,84,088	29.03	0	29.03	0	0	NA	1,54,02,052	



Table IV: Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights	Total as a % of (A+B+C)				No.	as a % of total Share held	No.	as a % of total shares held	
									class X	class Y	total							
(1)	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(a)	Name of DR Holder (if available)																NA	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(a)	Name(xyz)																	
	Total Non-Promoter-Non public shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0

