

COMPLIANCE REPORT ON SHAREHOLDING PATTERN
Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity : **Kothari Petrochemicals Limited**
2. Scrip Code/Name of Scrip/Class of Security : **KOTHARIPET / EQUITY**
3. Share Holding Pattern Filed under : **Regulation 31(1)(b)**
4. Quarter ended : **December 31, 2018**

5. **Declaration:** The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?	-	√
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	√
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	√
(iv)	Whether the Listed Entity has any shares in locked-in?	-	√
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	-	√



KOTHARI PETROCHEMICALS LIMITED (KPL)

6. The tabular format for disclosure of holding of specified securities is as follows:-

Table I: Summary Statement holding of specified securities

Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
							No. of Voting Rights	Total as a % of (A+B+C)				No.	As a % of total shares held	No.	As a % of total Shares held			
							Class Equity	class Y	Total									
(A) Promoter & Promoter Group	5	4,17,62,512	0	0	4,17,62,512	70.97	4,17,62,512	0	4,17,62,512	70.97	0	70.97	0	0	0	0	0	4,17,62,512
(B) Public	10,487	1,70,83,888	0	0	1,70,83,888	29.03	1,70,83,888	0	1,70,83,888	29.03	0	29.03	0	0	0	0	0	1,54,33,852
(C) Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1) Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2) Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	10,492	5,88,46,400	0	0	5,88,46,400	100	5,88,46,400	0	5,88,46,400	100	0	100	0	0	0	0	0	5,71,96,364



Table II: Statement showing shareholding pattern of the Promoter and Promoter Group

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Under lying Out standing convertible securities (including Warrants) (X)	Share holding , as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
									No. of Voting Rights		total as a % of total Voting rights			No.	as a % of total share held	No. (a)	as a % of total share held			
									Class Equity	Class y									Total	
(1)	Indian																			
(a)	Individuals/ Hindu undivided Family		3	2,97,700	0	0	2,97,700	0.51	2,97,700	0	2,97,700	0.51	0	0.51	0	0	0	0	0	2,97,700
	Names																			
	Nina Bhadrashyam Kothari			2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	0	2,97,500
	Arjun B Kothari			100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0	0	0	0	100
	Nayantara B Kothari			100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0	0	0	0	100
(b)	Central / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	any other																			
	Bodies Corporate		2	4,14,64,812	0	0	4,14,64,812	70.46	4,14,64,812	0	4,14,64,812	70.46	0	70.46	0	0	0	0	0	4,14,64,812
	Kothari Sugars and Chemicals Ltd			1,20,19,000	0	0	1,20,19,000	20.42	1,20,19,000	0	1,20,19,000	20.42	0	20.42	0	0	0	0	0	1,20,19,000
	BHK Trading LLP			2,94,45,812	0	0	2,94,45,812	50.04	2,94,45,812	0	2,94,45,812	50.04	0	50.04	0	0	0	0	0	2,94,45,812
	Sub-Total (A)(1)		5	4,17,62,512	0	0	4,17,62,512	70.97	4,17,62,512	0	4,17,62,512	70.97	0	70.97	0	0	0	0	0	4,17,62,512



(2)	Foreign																			
(a)	Individuals(NRI/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	5	4,17,62,512	0	0	4,17,62,512	70.97	4,17,62,512	0	4,17,62,512	70.97	0	70.97	0	0	0	0	0	0	4,17,62,512

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



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Table III: Statement showing shareholding pattern of the Public shareholder

	Category of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of share underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No.	as a % of total share held	No		as a % of total shares held
									Class Equity	Class y	total								
(I)	Institutions																		
(a)	Mutual Funds/		1	49,400	0	0	49,400	0.08	49,400	0	49,400	0.08	0	0.08	0	0	NA	0	
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(f)	Financial Institutions/ Banks		1	2,000	0	0	2,000	0.00	2,000	0	2,000	0.00	0	0.00	0	0	NA	2,000	
(g)	Insurance Companies		1	14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0	NA	14,56,931	
	Life Insurance Corporation of India			14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0	NA	14,56,931	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(i)	any other : Foreign Institutional Investor		1	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0	0	NA	0	
	Sub-Total (B)(1)		4	15,48,831	0	0	15,48,831	2.63	15,48,831	0	15,48,831	2.63	0	2.63	0	0		14,58,931	
2	Central/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	



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(3)	Non-institutions																	
(a)	Individuals -																	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	9,921	70,54,487	0	0	70,54,487	11.99	70,54,487	0	70,54,487	11.99	0	11.99	0	0	NA	56,20,252	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	43	16,26,086	0	0	16,26,086	2.76	16,26,086	0	16,26,086	2.76	0	2.76	0	0	NA	16,00,886	
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	any other	519	68,54,484	0	0	68,54,484	11.63	68,54,484	0	68,54,484	11.63	0	11.63	0	0	NA	67,53,783	
	Bodies Corporate	177	54,80,571	0	0	54,80,571	9.31	54,80,571	0	54,80,571	9.31	0	9.31	0	0	NA	53,86,971	
	Clearing Members	25	14,259	0	0	14,259	0.02	14,259	0	14,259	0.02	0	0.02	0	0	NA	14,259	
	Directors & Relatives	3	4,200	0	0	4,200	0.00	4,200	0	4,200	0	0	0	0	0	NA	4,100	
	Hindu Undivided Family	240	4,92,009	0	0	4,92,009	0.84	4,92,009	0	4,92,009	0.84	0	0.84	0	0	NA	4,92,008	
	IEPF	1	5,52,749	0	0	5,52,749	0.94	5,52,749	0	5,52,749	0.94	0	0.94	0	0	NA	5,52,749	
	Non Residents Indians	72	3,09,696	0	0	3,09,696	0.52	3,09,696	0	3,09,696	0.52	0	0.52	0	0	NA	3,02,696	
	Trust	1	1,000	0	0	1,000	0.00	1,000	0	1,000	0.00	0	0.00	0	0	NA	1,000	
	Sub-Total (B)(3)		10,483	1,55,35,057	0	0	1,55,35,057	26.40	1,55,35,057	0	1,55,35,057	26.40	0	26.40	0	0	NA	1,39,74,921
		Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	10,487	1,70,83,888	0	0	1,70,83,888	29.03	1,70,83,888	0	1,70,83,888	29.03	0	29.03	0	0	NA	1,54,33,852



Table IV: Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of (A+B+C)			No.	as a % of total Share held	No.	as a % of total shares held		
								class X	class Y									total
(1) Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(a) Name of DR Holder (if available)																	NA	0
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(a) Name(xyz)																		
Total Non-Promoter-Non public shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0

