

## COMPLIANCE REPORT ON SHAREHOLDING PATTERN

Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity : Kothari Petrochemicals Limited

2. Scrip Code/Name of Scrip/Class of Security KOTHARIPET / EQUITY

3. Share Holding Pattern Filed under Regulation 31(1)(b)

4. Quarter ended : March 31, 2021

5. Declaration: The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?		$\checkmark$
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?		V
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	<b>V</b>
(iv)	Whether the Listed Entity has any shares in locked-in?	<b>√</b>	
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?		V
(vi)	Whether the listed entity has any significant beneficial owner?	<b>√</b>	





6. The tabular format for disclosure of holding of specified securities is as follows:-

<u>Table I</u>: Summary Statement holding of specified securities

(ON 3	03
	034
E CHE	NINNI JO

	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Deposit ory Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR. 1957) (VIII) as a % of (A+B+C2)		class of	g Rights held i securities IX)	n each	No. of shares Underlying Out standing convertible securities (including Warrants)	conversion of convertible securities( as a % of diluted share capital)	Lock sh: (X	ber of ced in ares (II)	Sha pleda othe encun	ber of ares ged or rwise nbered III)	Number of equity shares held in dematerializ ed form (XIV)
								No. of	f Voting	Rights	Total as a % of (A+B+ C)			No.	As a % of total shares held	No.	As a % of total Shares held	
							-1	Class Equity	class Y	Total								
(A)	Promoter & Promoter Group	5	4,17,72,005	0	0	4,17,72,005	70.98	4,17,72,005	0	4,17,72,005	70.98	0	70.98	0	0	0	0	4,17,72,005
(B)	Public	10,859	1,70,74,395	0	0	1,70,74,395	29.02	1,70,74,395	0	1,70,74,395	29.02	0	29.02	300	0	0	0	1,56.25,863
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	10,864	5,88,46,400	0	0	5,88,46,400	100	5,88,46,400	0	5,88,46,400	100	0	100	300	0	0	0	5,73,97,868





<u>Table II</u>: Statement showing shareholding pattern of the Promoter and Promoter Group

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Depository Receipts (VI)	Total nos, shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) as a % of (A+B+C2)		class o	g Rights held f securities (IX)		No. of Shares Under lying Out standing convertible securities (including Warrants) (X)	Share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X ) as a % of (A+B+C2)	Lo	umber of ocked in shares (XII)	Share or of encu	nber of s pledged therwise imbered XIII)	Number of equity shares held in demateri alized form (XIV)
									No, of	Voting	Rights	total as a % of total Voting rights			No.	as a % of total share held	No. (a)	as a % of total share held	
									Class Equity	Class y	Total								
(1)	Indian																		
(a)	Individuals/ Hindu undivided Family		3	62,67,193	0	0	62,67,193	10.65	62,67,193	0	62,67,193	10.65	0	10.65	0	0	0/3	5h * 00	62.67,193
	Names																10	800 03	131
	Nina Bhadrashyam Kothari			62,57,500	0	0	62,57,500	10.63	62,57,500	0	62,57,500	10,63	0	10.63	0	0	0	CHENN	62,57,500
	Arjun B Kothari			9,593	0	0	9,593	0.02	9,593	0	9,593	0.02	0	0.02	0	0	0	(OCH0:	9,593
	Nayantara B Kothari			100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0	0	0	100
	Anandita Kothari			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Central / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	<b>3</b> 0	0	0	0	0	0	0	0
(d)	any other	4																	
67	Bodies Corporate		2	3,55,04,812	0	0	3,55,04,812	60,33	3,55,04,812	0	3,55,04,812	60.33	0	60.33	0	0	0	0	3,55,04.812
	Kothari Sugars and Chemicals Ltd			1,000	0	0	1,000	0.00	1,000	0	1,000	0.00	0	0,00	0	0	0	0	1,000
	BHK Trading LLP			3,55,03,812	0	0	3,55,03,812	60.33	3,55,03,812	0	3,55,03,812	60.33	0	60.33	0	0	0	0	3,55,03,812
	Sub-Total (A)(1)		5	4,17,72,005	0	0	4,17,72,005	70.98	4,17,72,005	0	4,17,72,005	70.98	0	70.98	0	0	0		4,17,72,005





(2)	Foreign																16	POHEN!
(a)	Individuals(NRI/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	5	4,17,72,005	0	0	4,17,72,005	70.98	4,17,72,005	0	4,17,72,005	70.98	0	70.98	0	0	0	0	4,17,72,005

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



## KOTHARI PETROCHEMICALS LIMITED (KPL)



<u>Table III</u>: Statement showing shareholding pattern of the Public shareholder

	Category of shareholder (I)	PAN (II)	Nos. of share holde rs (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of share under lying Depositor y Receipts (VI)	(VII) = (IV)+(V)+	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)		class of	g Rights held securities IX)	in each	No. of Shares Underlying Out standing convertible securities (including Warrants)	conversion of convertible securities (as	Ni L	umber of ocked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerial zed form (XIV)
									No of V	oting F	Rights	Total as a % of (A+B +C)			No.	as a % of total share held	No of total shares held	
									Class Equity	Class	total					197		
(1)	Institutions															10	10	
(a)	Mutual Funds/		1	49,400	0	0	49,400	0.08	49,400	0	49,400	0.08	0	0.08	0		The second secon	0
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	OCH NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0,	0	0	0	0	0	0	0	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(e)	Foreign Portfolio Investors	0 -	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(f)	Financial Institutions/ Banks		2	5,481	0	0	5,481	0.01	5,481	0	5,481	0.01	0	0.01	0	0	NA	5,481
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(i)	any other : Foreign Institutional Investor	1-	1	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0	0	NΛ	0
	Sub-Total (B)(1)		4	95,381	0	0	95,381	0.16	95,381	0	95,381	0.16	0	0.16	0	0		5,481
2	Central/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0



## KOTHARI PETROCHEMICALS LIMITED (KPL)



(3)	Non-institutions															1	5400
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10,334	65,40,879	0	0	65,40,879	11.12	65,40,879	0	65,40,879	11.12	0	11.12	300	0	NA	52,92,548
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	67	28,51,490	0	0	28,51,490	4.85	28,51,490	0	28,51,490	4.85	0	4.85	0	Q	NA	28,26,290
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
( )	any other	454	75,86,645	0	0	75,86,645	12.89	75,86,645	0	75,86,645	12.89	0	12.89	0	0	NA	75,01,544
(e)	Bodies Corporate	116	61,16,069	0	0	61,16,069	10.39	61,16,069	0	61,16,069	10.39	0	10.39	0	0	NA	60,37,969
	Clearing Members	39	62,731	0	0	62,731	0.11	62,731	0	62,731	0.11	0	11.0	0	0	NA	62,731
	Directors & Relatives	1	4,000	0	0	4,000	0.00	4,000	0	4,000	0.00	0	0.00	0	0	NA	4,000
	Hindu Undivided Family	228	4,50,593	0	0	4,50,593	0.77	4,50,593	0	4,50,593	0.77	0	0.77	0	0	NA	4,50,592
	IEPF	1	6,54,360	0	0	6,54,360	1.11	6,54,360	0	6,54,360	1,11	0	1.11	0	0	NA	6,54,360
	Non Residents Indians	69	2,98,892	0	0	2,98,892	0.51	2,98,892	0	2,98,892	0.51	0	0.51	0	0	NA	2,91,892
	Sub-Total (B)(3)	10,855	1,69,79,014	0	0	1,69,79,014	28.85	1,69,79,014	0	1,69,79,014	28.85	0	28.85	0	0	NA	1,56,20,382
	Total Public Shareholding (B)=(B)(1)+(B)(2)+ (B)(3)	10859	1,70,74,395	0	0	1,70,74,395	29.02	1,70,74,395	0	1,70,74,395	29.02	0	29.02	300	0	NA	1,56,25,863





<u>Table IV</u>: Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category of shareholder (I)	PAN (II)	Nos. of shar ehol ders (III)	No. of fully paid up equity shares held (IV)	paid-up	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	h				No. of Shares Underlyin Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Lo	mber of Ocked in hares	S ple oth enci	mber of hares dged or nerwise imbered (III)	Number of equity shares held in demateri alized form (XIV)
									No of	Voting	Rights	Total as a % of (A+B +C)			No.	as a % of total Share held	0.	as a % of total shares held	
						D. Harris			class X	class Y	total								
(1)	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(a)	Name of DR Holder (if available)																NA		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit ) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(a)	Name(xyz)																		
	Total Non-Promoter- Non public shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0





Table V- Statement showing details of significant beneficial owners

	Details of th	e significant benef	ficial owner	Detail	s of the registered	owner	(*#) Details of holding/ exercise of ri in the reporting company, whether d		Date of creation acquisition of			
SI.No.	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Whether by virtue o	f	significant beneficial interest#			
					Sent V		Shares	60.33%	137			
							Voting Rights	60.33%	S 500 034 /			
				BHK Trading LLP		Incorporated in India	Rights on distributable dividend or any other distribution	60.33%	07.08.2015			
							Exercise of control	Yes	CHEV			
							Exercise of significant influence	Yes				
							Shares	0.001%				
				Kothari			Voting Rights	0.001%				
1	Mrs. Nina B. Kothari		INDIAN	Sugars and Chemicals		Incorporated in India	Rights on distributable dividend or any other distribution	0.001%	22.12.2005			
				Limited			Exercise of control	Yes				
							Exercise of significant influence	Yes				
							Shares	10.63%				
		l l					Voting Rights	10.63%				
				Nina B.Kothari		Indian	Rights on distributable dividend or any other distribution	10.63%	22.12.2005			
	-			B.Kotnari			Exercise of control	Yes				
		×					Exercise of significant influence	Yes				





					Shares	60.33%					
					Voting Rights	60.33%					
			BHK Trading LLP	Incorporated in India	Rights on distributable dividend or any other distribution	60.33%	07.08.2015				
					Exercise of control	Yes					
					Exercise of significant influence	Yes					
					Shares	0.001%					
	Mr. Arjun B. Kothari		Kothari		Voting Rights	0.001%					
		INDIAN	Sugars and Chemicals	Incorporated in India	Rights on distributable dividend or any other distribution	0.001%	10.04.2015				
			Limited		Exercise of control	Yes					
					Exercise of significant influence	Yes					
					Shares	0.02%					
					Voting Rights	0.02%					
			Arjun B.Kothari	Indian	Rights on distributable dividend or any other distribution	0.02%	10.04.2015				
					Exercise of control	Yes					
					Exercise of significant influence	Yes					

<sup>[\*</sup> In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.]

For Kothari Petrochemicals Limited

Deepa Bansal
Company Secretary