

COMPLIANCE REPORT ON SHAREHOLDING PATTERN
 Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity : **Kothari Petrochemicals Limited**
2. Scrip Code/Name of Scrip/Class of Security : **KOTHARIPET / EQUITY**
3. Share Holding Pattern Filed under : **Regulation 31(1)(b)**
4. Quarter ended : **September 30, 2021**

5. **Declaration:** The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?	-	√
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	√
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	√
(iv)	Whether the Listed Entity has any shares in locked-in?	√	-
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	-	√
(vi)	Whether the listed entity has any significant beneficial owner?	√	-

6. The tabular format for disclosure of holding of specified securities is as follows:-

Table I: Summary Statement holding of specified securities

	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights	Total as a % of (A+B+C)				No.	As a % of total shares held	No.	As a % of total Shares held		
								Class Equity	class Y	Total								
(A)	Promoter & Promoter Group	5	4,17,72,005	0	0	4,17,72,005	70.98	4,17,72,005	0	4,17,72,005	70.98	0	70.98	0	0	0	0	4,17,72,005
(B)	Public	22,970	1,70,74,395	0	0	1,70,74,395	29.02	1,70,74,395	0	1,70,74,395	29.02	0	29.02	300	0	0	0	1,56,35,170
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	22,975	5,88,46,400	0	0	5,88,46,400	100	5,88,46,400	0	5,88,46,400	100	0	100	300	0	0	0	5,74,07,175

Table II: Statement showing shareholding pattern of the **Promoter and Promoter Group**

	Category of shareholder	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares under lying Depository Receipts	Total nos. shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Under lying Out standing convertible securities (as a % of diluted share capital)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
										Class Equity	Class y	Total			No.	as a % of total share held	No. (a)	as a % of total share held		
										No. of Voting Rights										
										Class Equity	Class y	Total								
(1)	Indian																			
(a)	Individuals/ Hindu undivided Family			3	62,67,193	0	0	62,67,193	10.65	62,67,193	0	62,67,193	10.65	0	10.65	0	0	0	0	62,67,193
	Names																			
	Nina Bhadrashyam Kothari	Promoter			62,57,500	0	0	62,57,500	10.63	62,57,500	0	62,57,500	10.63	0	10.63	0	0	0	0	62,57,500
	Arjun B Kothari	Promoter			9,593	0	0	9,593	0.02	9,593	0	9,593	0.02	0	0.02	0	0	0	0	9,593
	Nayantara B Kothari	Promoter			100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0	0	0	100
	Anandita Kothari	Promoter			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Central / State Government(s)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/ Banks			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	any other																			
	Bodies Corporate			2	3,55,04,812	0	0	3,55,04,812	60.33	3,55,04,812	0	3,55,04,812	60.33	0	60.33	0	0	0	0	3,55,04,812
	Kothari Sugars & Chemicals Ltd	Promoter			1,000	0	0	1,000	0.00	1,000	0	1,000	0.00	0	0.00	0	0	0	0	1,000
	BHK Trading LLP	Promoter			3,55,03,812	0	0	3,55,03,812	60.33	3,55,03,812	0	3,55,03,812	60.33	0	60.33	0	0	0	0	3,55,03,812
	Sub-Total (A)(1)			5	4,17,72,005	0	0	4,17,72,005	70.98	4,17,72,005	0	4,17,72,005	70.98	0	70.98	0	0	0	0	4,17,72,005

(2)	Foreign																			
(a)	Individuals(NRI/ Foreign Individuals)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			5	4,17,72,005	0	0	4,17,72,005	70.98	4,17,72,005	0	4,17,72,005	70.98	0	70.98	0	0	0	0	4,17,72,005
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																				

Table III: Statement showing shareholding pattern of the **Public shareholder**

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of share under lying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total share holding , as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of (A+B +C)			No.	as a % of total share held	No	as a % of total shares held		
									Class Equity	Class y									total
(1)	Institutions																		
(a)	Mutual Funds/		1	49,400	0	0	49,400	0.08	49,400	0	49,400	0.08	0	0.08	0	0	NA	0	
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	Foreign Portfolio Investors		1	903	0	0	903	0.00	903	0	903	0.00	0	0	0	0	NA	903	
(f)	Financial Institutions/ Banks		2	5,481	0	0	5,481	0.01	5,481	0	5,481	0.01	0	0.01	0	0	NA	5,481	
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(i)	any other : Foreign Institutional Investor		1	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0	0	NA	0	
	Sub-Total (B)(1)		5	96,284	0	0	96,284	0.16	96,284	0	96,284	0.16	0	0.16	0	0	NA	6,384	
2	Central/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	

(3)	Non-institutions																	
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	22,377	81,99,391	0	0	81,99,391	13.93	81,99,391	0	81,99,391	13.93	0	13.93	300	0	NA	69,60,367	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	47	20,94,360	0	0	20,94,360	3.56	20,94,360	0	20,94,360	3.56	0	3.56	0	0	NA	20,69,160	
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	any other	541	66,84,360	0	0	66,84,360	11.35	66,84,360	0	66,84,360	11.35	0	11.35	0	0	NA	65,99,259	
	Bodies Corporate	113	52,40,819	0	0	52,40,819	8.91	52,40,819	0	52,40,819	8.91	0	8.91	0	0	NA	51,62,719	
	Clearing Members	36	49,690	0	0	49,690	0.08	49,690	0	49,690	0.08	0	0.08	0	0	NA	49,690	
	Directors & Relatives	1	4,000	0	0	4,000	0.00	4,000	0	4,000	0.00	0	0.00	0	0	NA	4,000	
	Hindu Undivided Family	255	3,78,500	0	0	3,78,500	0.64	3,78,500	0	3,78,500	0.64	0	0.64	0	0	NA	3,78,499	
	IEPF	1	6,53,860	0	0	6,53,860	1.11	6,53,860	0	6,53,860	1.11	0	1.11	0	0	NA	6,53,860	
	Non Residents Indians	135	3,57,491	0	0	3,57,491	0.61	3,57,491	0	3,57,491	0.61	0	0.61	0	0	NA	3,50,491	
	Sub-Total (B)(3)	22,965	1,69,78,111	0	0	1,69,78,111	28.85	1,69,78,111	0	1,69,78,111	28.85	0	28.85	300	0	NA	1,56,28,786	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	22,970	1,70,74,395	0	0	1,70,74,395	29.02	1,70,74,395	0	1,70,74,395	29.02	0	29.02	300	0	NA	1,56,35,170	

Table IV: Statement showing shareholding pattern of the **Non Promoter- Non Public shareholder**

	Category of shareholder (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding , as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights	Total as a % of (A+B+C)				No.	as a % of total Share held	No.	as a % of total shares held	
									class X	class Y	total							
(1)	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(a)	Name of DR Holder (if available)																NA	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(a)	Name(xyz)																	
	Total Non-Promoter- Non public shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0

Table V- Statement showing details of significant beneficial owners

Sl.No.	Details of the significant beneficial owner			Details of the registered owner			(*#) Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect		Date of creation / acquisition of significant beneficial interest#
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Whether by virtue of		
1	Mrs. Nina B. Kothari		INDIAN	BHK Trading LLP		Incorporated in India	Shares	60.33%	07.08.2015
							Voting Rights	60.33%	
							Rights on distributable dividend or any other distribution	60.33%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	
				Kothari Sugars and Chemicals Limited		Incorporated in India	Shares	0.001%	22.12.2005
							Voting Rights	0.001%	
							Rights on distributable dividend or any other distribution	0.001%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	
				Nina B.Kothari		Indian	Shares	10.63%	22.12.2005
							Voting Rights	10.63%	
Rights on distributable dividend or any other distribution	10.63%								
Exercise of control	Yes								
							Exercise of significant influence	Yes	

2	Mr. Arjun B. Kothari		INDIAN	BHK Trading LLP	Incorporated in India	Shares	60.33%	07.08.2015
						Voting Rights	60.33%	
						Rights on distributable dividend or any other distribution	60.33%	
						Exercise of control	Yes	
						Exercise of significant influence	Yes	
				Kothari Sugars and Chemicals Limited	Incorporated in India	Shares	0.001%	10.04.2015
						Voting Rights	0.001%	
						Rights on distributable dividend or any other distribution	0.001%	
						Exercise of control	Yes	
						Exercise of significant influence	Yes	
				Arjun B.Kothari	Indian	Shares	0.02%	10.04.2015
						Voting Rights	0.02%	
Rights on distributable dividend or any other distribution	0.02%							
Exercise of control	Yes							
						Exercise of significant influence	Yes	

[* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.]