

COMPLIANCE REPORT ON SHAREHOLDING PATTERN

Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity : Kothari Petrochemicals Limited

2. Scrip Code/Name of Scrip/Class of Security : **KOTHARIPET / EQUITY**

3. Share Holding Pattern Filed under : Regulation 31(1)(b)

4. Quarter ended : September 30, 2022

5. Declaration: The company declare the following to the extent of submission of information: -

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?	~	\checkmark
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	\checkmark
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	V
(iv)	Whether the Listed Entity has any shares in locked-in?	**	√
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	-	√
(vi)	Whether the listed entity has any significant beneficial owner?	√	-





6. The tabular format for disclosure of holding of specified securities is as follows:-

<u>Table I</u>: Summary Statement holding of specified securities

	Category of shareholder (II)	Nos, of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Deposit ory Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)		class of	g Rights held i securities IX)	in each	shares Underlying Out standing convertible securities	Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Lock sha (X	ber of ced in ares	Sha pledg othe cncun	ber of ares ged or rwise abered III)	Number of equity shares held in dematerializ ed form (XIV)
								No. of	`Voting	Rights	Total as a % of (A+B+ C)			No.	As a % of total shares held	No.	As a % of total Shares held	
								Class Equity	class Y	Total								
(A)	Promoter & Promoter Group	5	4,17,72,005	0	0	4,17,72,005	70,98	4,17,72,005	0	4,17,72,005	70.98	0	70.98	0	0	0	0	4,17,72,005
(B)	Public	40,177	1,70,74,395	0	0	1,70,74,395	29.02	1,70,74,395	0	1,70,74,395	29.02	0	29.02	0	0	0	0	1,57,33.920
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(CI)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	40,182	5,88,46,400	0	0	5,88,46,400	100	5,88,46,400	0	5,88,46,400	100	0	100	0	0	0	0	5,75,05,925



Chemicals Ltd BHK Trading

Sub-Total (A)(1)

LLP

Promoter



Statement showing shareholding pattern of the Promoter and Promoter Group Table II: No. of Shareholdi Shares ng, as a % Entity Share Under assuming holding as type i.e. No. of Number No. of lying full Number of promoter No. of a % of total Partly of equity Nos. shares Out conversion Number of Shares Category of OR fully paid paid-Total nos. no. of shares PAN Number of Voting Rights held in each standing Locked in pledged or of under shareholder promoter up equity up shares shares held in share lying class of securities convertible convertible shares otherwise held (calculated group shares equity dematerial holders Depository securities securities (XII) encumbered entity held shares as per ized form (as a % of Receipts (as a % (XIII) SCRR, (except (XIV) diluted of diluted promoter) 1957) share share capital) capital) total as a as a % as a % of % of No. of total No. total No. of Voting Rights total share share Voting held held rights Class Equity Class Total (1) Indian Individuals/ Hindu undivided 3 62,67,193 0 62,67,193 10.65 62,67,193 0 62,67,193 10.65 0 10.65 62,67,193 Family Names Nina 0 0 0 Bhadrashyam Promoter 62,57,500 0 0 62,57,500 10.63 62,57,500 0 62,57,500 10.63 0 10.63 0 62.57,500 Kothari 0 9,593 0 9,593 0,02 9,593 Arjun B Kothari Promoter 9,593 0 0.02 9.593 0 0.02 0 0 0 () Nayantara B 100 0 0 100 0.00 100 0 100 0,00 0 0.00 0 0 0 0 100 Promoter Kothari Anandita Ariun 0 0 0 0 0 0 0 0 0 0 0 0 Promoter 0 0 0 0 Kothari Central / State (b) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 Government(s) (c) Financial 0 Institutions/ 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 Banks (d) any other **Bodies** 0 60.33 0 0 0 0 3,55,04,812 2 3.55.04.812 3.55.04.812 60.33 3,55,04,812 0 3.55.04.812 60.33 0 Corporate Kothari Sugars & 1.000 0 0 0 0 0 0 Promoter 1,000 0 1,000 0.00 1,000 1,000 0.00 0.00 0

"KOTHARI BUILDINGS", NO.115, MAHATMA GANDHI ROAD, NUNGAMBAKKAM, CHENNAI - 600 034, CIN: L11101TN1989PLC017347, Phone No.044-35225527 / 5528, Email: secdept@hckgroup.com

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5 4,17,72,005



	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) ils of Shares which remain unclai	5	4,17,72,005	0	0	4,17,72,005	70.98	4,17,72,005	0	4,17,72,005	70.98	0	70.98	0	0	0	0	4,17,72,005
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a)	Individuals(NRI/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(2)	Foreign																	





Table III: Statement showing shareholding pattern of the public shareholder

	Category & Name of the shareholders (l)	PAN (II)	Nos. of share holder s (III)	No. of fully paid-up equity shares held (IV)		No. of share under	Total nos. shares held (VII) =	Share holding % (calculated as per SCRR, 1957) (VIII)		ch clas	oting Rights is of securiti (IX)		lying Out standing convertible securities (including Warrants)	(as a % of diluted	Num Lock	iber of ked in ares KII)	Number of Shares pledged or otherwise cncumber ed (XIII)		Share	nares (2	g (No. of
									No of	Voting	Rights	Total as a % of total voting rights			No.	as a % of total share held	as a % No of total shares held		Sub- category (i)	Sub- ategor (ii)	Sub- category (iii)
									Class Equity	Class	total										
(1)	Institutions (Domestic)																				
(a)	Mutual Funds/		1.5	49,400	0	0	49,400	0.08	49,400	0	49,400	0.08	0	0.08	0	0	NA	0	-	-	-
(b)	Venture Capital Funds		U	0	Ð.	0	-0	0	(1	U	b	D	а	0	()	0	NΛ	8	+	ŧ	+
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-	-	-
(d)	Banks		2	5,481	0	0	5,481	0.01	5,481	0	5,481	0.01	0	0.01	0	0	NA	5,481	-	*	-
(e)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-	-	-
(f)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-	14	-
(g)	Asset Reconstruction Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	a	NA	0	ž.	ě	-
(h)	Sovereign Wealth Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0			4-
(i)	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-	-	
(j)	Other Financial Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-	-	
(k)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0		-	-
	Sub-Total (B)(1)		3	54,881	0	0	54,881	0.09	54,881	0	54,881	0.09	0	0.09	0	0	NA	5,481	-	-	*





	Category & Name of the shareholders (I)	PAN (II)	Nos. of share holder s (III)	No. of fully paid-up equity shares held (IV)	un	No. of share under lying Depository Receipts (VI)	held (VII) =	Share holding % (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	e	ach clas	oting Rights is of securit (IX)	held in ies		diluted	Loc	ber of ked in ares (11)	Number of Shares pledged or otherwise encumber ed (XIII)	Number of equity shares held in demateri alized form (XIV)	Share	ategoriza hares (X 	(No. of
									No of	Voting	Rights	Total as a % of total voting rights			No	as a % of total share held	as a % No of total shares held		Sub- category (i)	Sub- category (ii)	Sub- category (iii)
									Class Equity	Class	total										
2	Institutions (Foreign)			-					-4-19												
(a)	Foreign Direct Investment		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-		
(b)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	4	-	
(c)	Sovereign Wealth Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0		-	•
(d)	Foreign Portfolio Investors Category I		2	36,550	0	0	36,550	0.06	36,550	0	36,550	0.06	0	0.06	0	0	NA	36,550	-	-	-
(e)	Foreign Portfolio Investors Category II		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	*	-	
(f)	Overseas Depositories (Holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	_	**	
(g)			I	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0	* 0	NA	0	-	à	
	Sub-Total B(2)		3	77,050	0	0	77,050	0.13	77,050	0	77,050	0.13	0	0.13	0	0	NA	36,550	_	-	-





	Category & Name of the shareholders (I)	PAN (II)	Nos. of sharehol ders (III)	No. of fully paid- up equity shares held (IV)	up	No. of share under- lying Depository Receipts (VI)	held (VII) =	(calculated as per SCRR, 1957) (VIII)		ich clas	oting Rights s of securiti (IX)		lying Out standing convertible securities (including Warrants)	Total share holding, as a % assuming full conversion of convertible e securities (as a % of diluted share capital) (XI)	Loc	nber of ked in nares XII)	of ple otl end	dged or herwise	Number of equity shares held in demate rialize d form (XIV)	Share	ategoriz hares (X holding ares) un	(No. of
									No of	Voting	Rights	Total as a % of total voting rights			No.	as a % of total share held	No	as a % of total shares held		Sub- category (i)	Sub- category (ii)	Sub- category (iii)
									Class Equity	Class	total	T I Brito										
3	Central government / State Government(s)																					
(a)	Central Government / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0	-	-	-
(b)	State Government / Governor		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0	÷		-
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0	-	-	-
	Sub-Total B(3)		0	0	0	0	0	0	0	0	.0	0	0	0	0	0		NA	0	=	-	-





	Category & Name of the shareholders (I)	PAN (II)	Nos. of shareho lders (III)	No. of fully paid-up equity shares held (IV)	Partly paid- up equity shares held (V)	No. of share under lying Depositor y Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding % (calculate d as per SCRR, 1957) (VIII) As a % of(A+B+ C2)	Number	of Voti class	ng Rights held i of securities (IX)	n each	ing Out standing convertibl e securities	convertibl e securities (as a % of	Lo	of cked in	Number of Shares pledged or otherwise encumber ed (XIII)	Number of equity shares held in dematerialize d form (XIV)	Share	hares (>	g (No. of
									No o	f Voting	Rights	Total as a % of total voting rights			-	as a % of total share held	NO DI TOTA		Sub- ategor (i)	Sub- category (ii)	Sub- category (iii)
									Class Equity	Class	total	rigito				Herd					
4	Non-institutions																				
(a)	Associate companies / Subsidiaries		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-		-
(b)	Directors and their relatives (excluding independent directors and nominee directors)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	*		٠
(c)	Key Managerial Personnel		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-	-	-
(d)	Relatives of promoters (other than 'immediate relatives' of promoter disclosed under 'Promoter and Promoter Group' category)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	•		•
(e)	Trusts where any person belonging to 'Promoter & Promoter Group' category is 'trustee' , 'beneficiary', or 'author of the trust'		0	0	0	0	0	0	0	0	o	0	0	0	0	0	NA	0		HEMIL	A S



(f)	Investor Education and Protection Fund (IEPF)	1	6,99,305	0	0	6,99,305	1.19	6,99,305	0	6,99,305	1.19	0	1.19	0	0	NA	6,99,305	-	-	-
	Investor Education and Protection fund authority Ministry of corporate affairs		6,99,305	0	0	6,99,305	1,19	6,99,305	0	6,99,305	1,19	0	119	0	0	NA	6,99,305	-	-	•
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	39,477	87,70,153	0	0	87,70,153	14.9	87,70,153	0	87,70,153	14.9	0	14.9	0	0	NA	76,29,878	-	-	7
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	35	14,29,178	0	0	14,29,178	2.43	14,29,178	0	14,29,178	2.43	0	2.43	0	0	NA	14,03,978	-	-	ē
(i)	Non-Resident Indians (NRIs)	251	3,92,826	0	0	3,92,826	0.67	3,92,826	0	3,92,826	0.67	0	0.67	0	0	NA	3,85,826	-	-	*
(i)	Foreign Nationals	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-	-	-
(k)	Foreign companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	-		-
(1)	Bodies Corporate	123	52,53,706	0	0	52,53,706	8.93	52,53,706	0	52,53,706	8.93	0	8,93	0	0	NA	51,75,606	-	-	-
(m)	Any Other (specify)	284	3,97,296	0	0	3,97,296	0.67	3,97,296	0	3,97,296	0.67	0	0,67	0	0	NA	3,97,296			
	Clearing Members	22	8,613	0	0	8,613	0.01	8,613	0	8,613	0.01	0	0.01	0	0	NA	8,613	-	-	
	Independent Directors and their Relatives	1	4,000	0	0	4,000	0.01	4,000	0	4,000	0.01	0	0.01	0	0	NA	4,000	-	-	-
	Hindu Undivided Family	261	3,84,683	0	0	3,84,683	0.65	3,84,683	0	3,84,683	0.65	0	0.65	0	0	NA	3,84,683	-	-	-
	Sub-Total B(4)	40,171	1,69,42,464	0	0	1,69,42,464	28.79	1,69,42,464	0	1,69,42,464	28.79	0	28.79	0	0	NA	1,56,91,889	-	-	-
	Total Public Share holding (B) = (B)(1) + (B)(2) + (B)(3) + B(4)	40.177	1,70,74,395	0	0	1,70,74,395	29.02	1,70,74,395	0	1,70,74,395	29.02	0	29.02	0	0	NA	1,57,33,920	-		-

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.
- (5) Sub-categorization of shares under column no. (XV) will be based on shareholding (no. of shares) under the following sub-categories:
- Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.
- (ii) Shareholders who have entered into shareholder agreement with the listed entity.
- (iji) Shareholders acting as persons in concert with promoters.





<u>Table IV</u>: Statement showing shareholding pattern of the Non-Promoter- Non Public shareholder

	Category & Name of the Shareholders (1)	PAN (II)	Nos. of shar chol ders (III)	No. of fully paid up equity shares held (IV)	paid-up	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding % (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)		eld in ea			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Loc	mber of cked in hares XII)	p	lumber of Shares ledged or otherwise leumbered (XIII)	Number of equity shares held in demateri alized form (XIV)
										of Votin Rights	g	Total as a % of total voting rights			No.	as a % of total Share held	N o.	as a % of total shares held	
									class	class	tot								
(1)	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(a)	Name of DR Holder (if available)																	NA	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(a)	Name(xyz)																		
	Total Non-Promoter- Non public shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0





Table V- Statement showing details of significant beneficial owners

	Details of th	e significant benef	ficial owner	Detail	s of the registered	owner	(*#) Details of holding/ exercise of ri in the reporting company, whether d		Date of creation acquisition of
Sl.No.	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Whether by virtue of		significant beneficial interest#
							Shares	60.33%	
							Voting Rights	60.33%	
				BHK Trading		Incorporated in India	Rights on distributable dividend or any other distribution	60.33%	07.08.2015
							Exercise of control	Yes	
							Exercise of significant influence	Yes	
							Shares	0.001%	
				Kothari			Voting Rights	0.001%	
1	Mrs. Nina B. Kothari		INDIAN	Sugars and Chemicals		Incorporated in India	Rights on distributable dividend or any other distribution	0.001%	22.12.2005
				Limited			Exercise of control	Yes	
							Exercise of significant influence	Yes	
							Shares	10.63%	
				1			Voting Rights	10.63%	
				Nina B.Kothari		Indian	Rights on distributable dividend or any other distribution	10.63%	22.12.2005
							Exercise of control	Yes	
							Exercise of significant influence	Yes	





				Shares	60.33%	
				Voting Rights	60.33%	
0		BHK Trading	Incorporated in India	Rights on distributable dividend or any other distribution	60.33%	07.08.2015
				Exercise of control	Yes	
				Exercise of significant influence	Yes	
				Shares	0.001%	
		Kothari		Voting Rights	0.001%	
Mr. Arjun B. Kothari	INDIAN	Sugars and Chemicals	Incorporated in India	Rights on distributable dividend or any other distribution	0.001%	10.04.2015
		Limited		Exercise of control	Yes	
				Exercise of significant influence	Yes	
				Shares	0.02%	
				Voting Rights	0.02%	
		Arjun B.Kothari	Indian	Rights on distributable dividend or any other distribution	0.02%	10.04.2015
				Exercise of control	Yes	
				Exercise of significant influence	Yes	

^{[*} In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

Table VI - Statement showing foreign ownership limits

	Board Approved Limits	Limits Utilized
As on Shareholding date	100%	0.70%
As on the end of previous 1st quarter	100%	0.78%
As on the end of previous 2 nd quarter	100%	0.64%
As on the end of previous 3 rd quarter	100%	0.73%
As on the end of previous 4th quarter	100%	0.60%



[#] This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.]